

# Exploiting Adjoints in Property Directed Reachability Analysis.

Mayuko Kori<sup>(1,2)</sup>, Flavio Ascari<sup>3</sup>, Filippo Bonchi<sup>3</sup>, Roberto Bruni<sup>3</sup>,  
Roberta Gori<sup>3</sup>, Ichiro Hasuo<sup>(1,2)</sup>

1. National Institute of Informatics
2. The Graduate University for Advanced Studies (SOKENDAI)
3. Università di Pisa

in CAV'23

# Property Directed Reachability Analysis (PDR)

Model checking Algorithm for Safety problems of state transition systems.

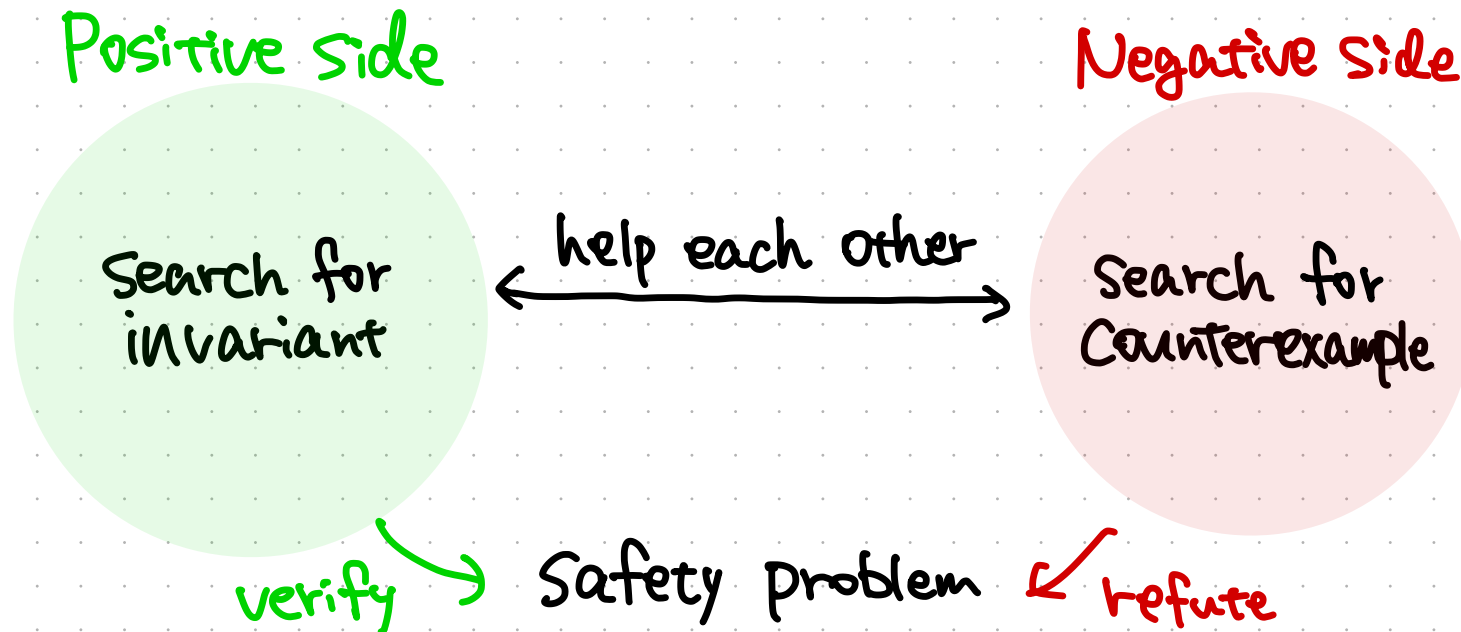
- original: IC3/PDR [Bradley, VMCAI'11], [Fent, FMCAD'11]

- active researches:

GPDR [Hoder & Björner, SAT'12], FB-PDR [Seufert & Scholl, DATE'18'19],  $\Lambda$ -PDR [Feldman<sup>+</sup>, POPL'22]

HGPDR [Suenaga & Ishizawa, VMCAI'20], Pr-IC3 [Bat2<sup>+</sup>, CAV'20],

- lattice-theoretic generalization: LT-PDR [Korit, CAV'22]

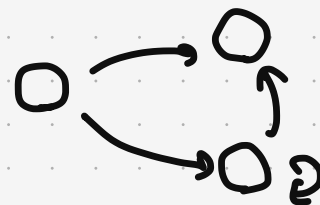


# Problem Setting: General Safety Problem

$\mu g \leq^? p$  in  $L$ . where  $L$ : Complete lattice,  $g: L \rightarrow L$ ,  $p \in L$   
Monotone

e.g.1 safety problem for Kripke frame ( $i \in S, \delta: S \rightarrow \mathcal{P}S$ )

$$\underbrace{\mu(US(-) \cup i)}_{\text{reachable States}} \leq^? \underbrace{p}_{\text{safe}} \text{ in } \mathcal{P}S$$

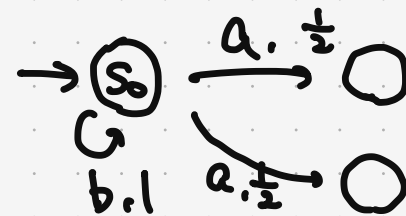


e.g.2 max reachability problem for MDP ( $s_0 \in S, \delta: S \times A \rightarrow \mathcal{D}S$ )  
init

...  $P_T(\text{reaching } \beta \subseteq S) \leq^? \lambda$  for given  $\lambda \in [0,1]$   
bad

$$\mu g \leq^? p_\lambda \text{ in } [0,1]^S$$

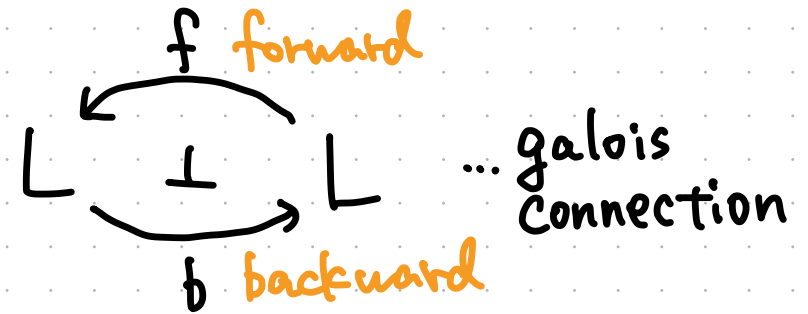
defined by Bellman operator



# Contribution 1. Adjoint PDR

generalization of PDR

We assume  $\mu g \leq ? p$  satisfies  $g = f \vee i$ ,



Positive side



Search for  
invariant  
in L

verify

Negative side



Search for  
Counterexample  
in L

refute

help each other  
 $f-b$  works well

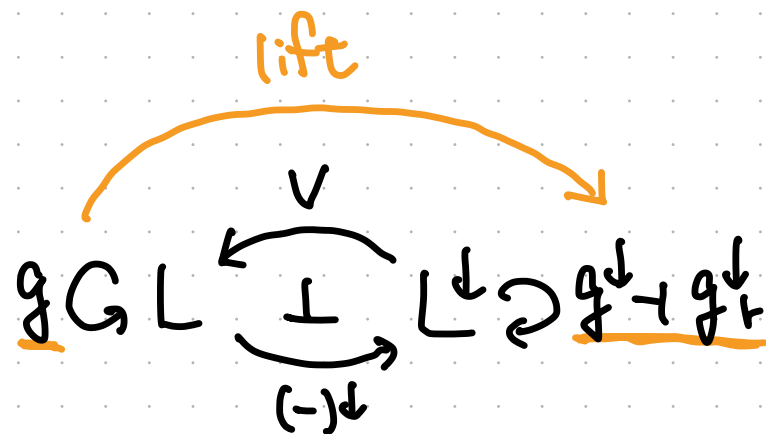
$\mu g \leq ? p$  in L

- ✓ safety problem for Kripke frame
- ✗ max reachability problem for MDP

# Contribution 2. Adjoint PDR $\downarrow$

for  $\mu g \leq^? p$  without  $f \dashv b$ .

We recover adjoints with lower sets  $L\downarrow$ .



Positive side



help each other  
 $g\downarrow \dashv g\uparrow$  works well

Negative side



verify

$\mu g \leq^? p$  in  $L$

refute

✓ max reachability problem for MDP

⇒ Mathematically simple PDR by adjoints.  
Abstract theory helps devising heuristics

# Outline

1. Adjoint PDR — generalization of PDR
2. Adjoint PDR<sup>d</sup> — extension of Adjoint PDR
3. Experiments

# Target Problem of Adjoint PDR

$\mu g \leq^? p$  in  $L$  with  $g = \underbrace{f \vee i}_{\text{left adjoint}}$ ,  $(f, g: L \rightarrow L, i, p \in L)$

and  $L \begin{array}{c} \xleftarrow{f \text{ (forward)}} \\ \perp \\ \xrightarrow{b \text{ (backward)}} \end{array} L \dots \text{forward/backward adjoint}$

e.g. safety problem for a Kripke frame  $(S, i \in S, \delta: S \rightarrow \mathcal{P}S)$ .

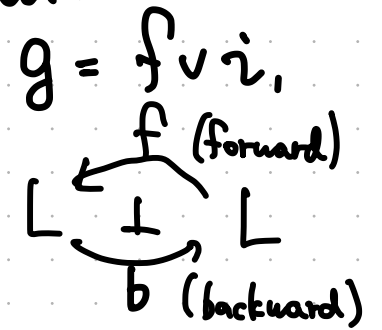
$\mu(\underbrace{U\delta(-)}_{\text{left adjoint}} \vee i) \leq^? p$  in  $\mathcal{P}S$  with  $\mathcal{P}S \begin{array}{c} \xleftarrow{U\delta(-) \text{ forward}} \\ \perp \\ \xrightarrow{\{s \mid \delta s \subseteq (-)\} \text{ backward}} \end{array} \mathcal{P}S$

# Forward / Backward form of $\mu g \leq p$ with

Target prob.

$$\mu g \leq p \text{ in } L \iff \mu(f \vee i) \leq p$$

forward



$$\stackrel{KT}{\iff} \exists x. \begin{cases} f x \vee i \leq x \\ x \leq p \end{cases}$$

(KT: Knaster-Tarski thm)

$$\iff \exists x. \begin{cases} f x \leq x \\ i \leq x \leq p \end{cases}$$

$$\stackrel{f+b}{\iff} \exists x. \begin{cases} x \leq b x \\ i \leq x \leq p \end{cases}$$

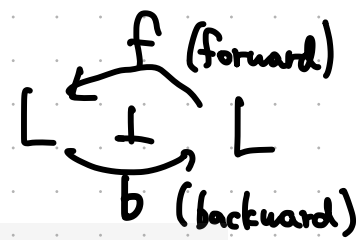
$$\iff \exists x. \begin{cases} x \leq b x \wedge p \\ i \leq x \end{cases}$$

$$\stackrel{KT}{\iff} i \leq v(b \wedge p)$$

backward



# How to solve?



$\mu g \leq ? p$

1. forward form:

$$\mu(f \vee i) \leq ? p$$

By Kleene thm,

initial chain

$$L \leq i \leq i \vee f i \leq \dots$$

$$\mu(f \vee i) \leq ? p$$

Converge to  $\mu(f \vee i)$

2. backward form:

$$i \leq ? v(b \wedge p)$$

By Kleene thm,

$$i \leq ? v(b \wedge p) \dots$$

final chain

$$\dots \leq b p \wedge p \leq p \leq T$$

converge to  $v(b \wedge p)$

in Adjoint PDR.

negative seq.

$$L \wedge b p \leq \dots \leq p \leq T$$

$g_i, \dots, g_{n-1}$   
 $v_i$

positive chain

$$L \leq g_i \leq \dots \leq g_{n-2} \leq g_{n-1}$$

$$L \leq i \leq \dots \leq v f i$$

over-approx.

under-approx.

over-approx.

approximation accelerates the algorithm.

Adjoint PDR solves  $\mu g \leq^? p$  in  $L$  with  $g = f \vee i$ ,  $f \vdash b$ .

AdjointPDR ( $i, f, g, p$ )

<INITIALISATION>

$(x \parallel y)_{n,k} := (\perp, \top \parallel \varepsilon)_{2,2}$

<ITERATION>

%  $x, y$  not conclusive

case  $(x \parallel y)_{n,k}$  of

$y = \varepsilon$  and  $x_{n-1} \sqsubseteq p$  : % (Unfold)

$(x \parallel y)_{n,k} := (x, \top \parallel \varepsilon)_{n+1, n+1}$

$y = \varepsilon$  and  $x_{n-1} \not\sqsubseteq p$  : % (Candidate)

choose  $z \in L$  such that  $x_{n-1} \not\sqsubseteq z$  and  $p \sqsubseteq z$ ;

$(x \parallel y)_{n,k} := (x \parallel z)_{n, n-1}$

$y \neq \varepsilon$  and  $f(x_{k-1}) \not\sqsubseteq y_k$  : % (Decide)

choose  $z \in L$  such that  $x_{k-1} \not\sqsubseteq z$  and  $g(y_k) \sqsubseteq z$ ;

$(x \parallel y)_{n,k} := (x \parallel z, y)_{n, k-1}$

$y \neq \varepsilon$  and  $f(x_{k-1}) \sqsubseteq y_k$  : % (Conflict)

choose  $z \in L$  such that  $z \sqsubseteq y_k$  and  $(f \sqcup i)(x_{k-1} \sqcap z) \sqsubseteq z$ ;

$(x \parallel y)_{n,k} := (x \sqcap_k z \parallel \text{tail}(y))_{n, k+1}$

endcase

<TERMINATION>

if  $\exists j \in [0, n-2]. x_{j+1} \sqsubseteq x_j$  then return true %  $x$  conclusive

if  $i \not\sqsubseteq y_1$  then return false %  $y$  conclusive

positive chain  
 $\mathcal{X}_0 \leq \mathcal{X}_1 \leq \dots \leq \mathcal{X}_{n-1}$   
 negative seq  
 $\mathcal{Y}_k, \dots, \mathcal{Y}_{n-1}$

extend positive chain

Construct negative seq.

refine approximation  
 (shrink overly-inflated  
 positive chain)

users need to specify heuristics.

1. how to construct negative seq.  $\mathcal{Y}$ .

2. how to shrink overly-inflated positive chain.  $\mathcal{X}$ .

# Property of AdjointPDR

## Thm. Soundness

If AdjointPDR returns true/false then  $\mu(fv_i) \in p / \mu(fv_i) \notin p$ .

## Thm. Progression

In any run, there's no loop.

## Thm. Negative Termination

If  $\mu(fv_i) \notin p$  and choices of  $y = (y_1, y_2, \dots, y_{n-1})$  is finite,

AdjointPDR terminates.

↪ This holds  
when  $L$  is finite  
or whenever we use canonical choice

$$y = (b^{n-1} p, \dots, b p, p)$$

← final chain

# Outline

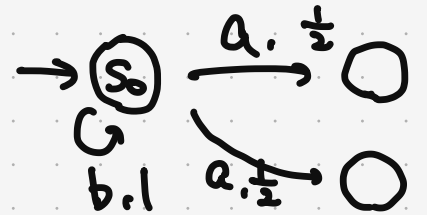
1. Adjoint PDR — generalization of PDR
2. Adjoint PDR<sup>d</sup> — extension of Adjoint PDR
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# $\mu g \leq^? p$ without $f \dashv b$

e.g. max reachability problem for MDP  $(A, S, s_0 \in S, \delta: S \times A \rightarrow \mathcal{D}S+1)$

Bellman operator  $f(d: S \rightarrow [0,1]) = s \mapsto \max_a \sum_{s'} d_{s'} \cdot \delta(s, a, s')$

$i = s \mapsto \begin{cases} 1 & \text{if } s \in \beta \\ 0 & \text{otherwise} \end{cases}$



then  $\mu(f \vee i) = s \mapsto \text{Pr}(\text{reaching } \beta \subseteq S \text{ from } s)$  in  $[0,1]^S$

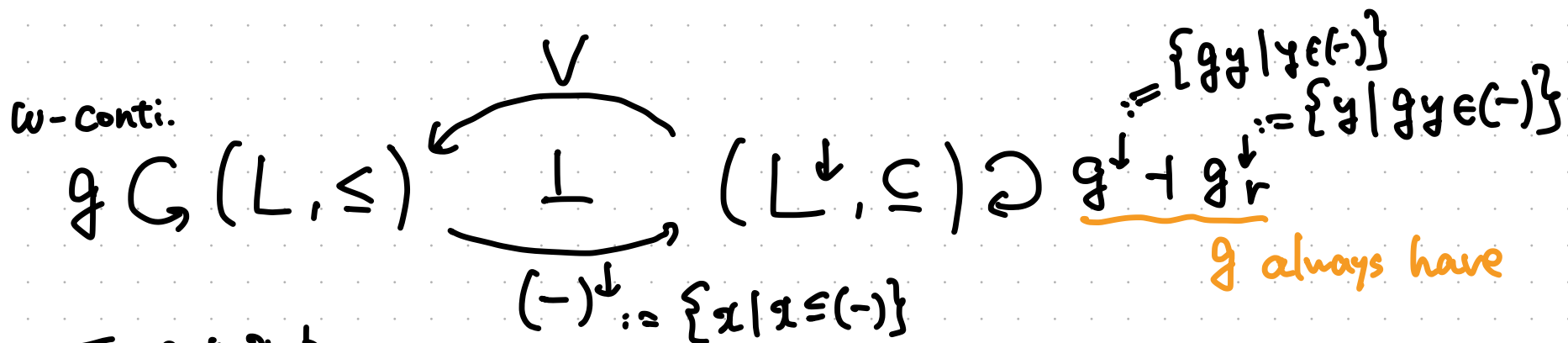
$$\text{Pr}(\text{reaching } \beta \text{ from } s_0) \leq^? \lambda \text{ in } [0,1]$$

$$\Leftrightarrow \mu(f \vee i) \leq^? \left( \begin{array}{l} s_0 \mapsto \lambda \\ \_ \mapsto 1 \end{array} \right) \text{ in } [0,1]^S$$

$f$  doesn't have a right adjoint ... any left adjoint preserve joins.  
but  $f(d_1 \vee d_2) \neq f(d_1) \vee f(d_2)$ .

So this problem is out of scope of Adjoint PDR.

# Recovering adjoints with lower sets



Target prob.

$$\underline{\mu g \leq? p \text{ in } L} \iff \underline{\mu (g^\downarrow \vee L^\downarrow) \leq? p^\downarrow \text{ in } L^\downarrow}$$

↑ Adjoint PDR  
may not solve

↑ Adjoint PDR  
can solve.

But  $L^\downarrow$  is too large to get convergence of positive chain.

So Adjoint PDR<sup>↓</sup> uses positive chain  $x$  in  $L$   
negative seq.  $y$  in  $L^\downarrow$ .

acceleration.

a set of  
negative seq.  $y$  in  $L$   
of Adjoint PDR.

# Adjoint PDR ↓

solves  $\mu g \leq^? p$  in  $L$ . almost the same as AdjointPDR except for negative seq.

AdjointPDR ↓ ( $g, p$ )

<INITIALISATION>

$(x \parallel Y)_{n,k} := (\emptyset, \perp, \top \parallel \varepsilon)_{3,3}$

<ITERATION>

case  $(x \parallel Y)_{n,k}$  of %  $x, Y$  not conclusive

$Y = \varepsilon$  and  $x_{n-1} \sqsubseteq p$  : % (Unfold)

$(x \parallel Y)_{n,k} := (x, \top \parallel \varepsilon)_{n+1, n+1}$

$Y = \varepsilon$  and  $x_{n-1} \not\sqsubseteq p$  : % (Candidate)

choose  $Z \in L^\downarrow$  such that  $x_{n-1} \notin Z$  and  $p \in Z$ ;

$(x \parallel Y)_{n,k} := (x \parallel Z)_{n, n-1}$

$Y \neq \varepsilon$  and  $g(x_{k-1}) \notin Y_k$  : % (Decide)

choose  $Z \in L^\downarrow$  such that  $x_{k-1} \notin Z$  and  $g_r^\downarrow(Y_k) \subseteq Z$ ;

$(x \parallel Y)_{n,k} := (x \parallel Z, Y)_{n, k-1}$

$Y \neq \varepsilon$  and  $g(x_{k-1}) \in Y_k$  : % (Conflict)

choose  $z \in L$  such that  $z \in Y_k$  and  $g(x_{k-1} \sqcap z) \sqsubseteq z$ ;

$(x \parallel Y)_{n,k} := (x \sqcap_k z \parallel \text{tail}(Y))_{n, k+1}$

endcase

<TERMINATION>

if  $\exists j \in [0, n-2]. x_{j+1} \sqsubseteq x_j$  then return true %  $x$  conclusive

if  $Y_1 = \emptyset$  then return false %  $Y$  conclusive

positive chain

$\mathcal{I}_0 \subseteq \mathcal{I}_1 \subseteq \dots \subseteq \mathcal{I}_{n-1}$  in  $L$

negative seq.

$Y_1, \dots, Y_{n-1}$  in  $L^\downarrow$

users need to specify heuristics.

1. how to construct negative seq.  $Y$ .

2. how to shrink overly-inflated positive chain.  $\mathcal{I}$ .

# Property of Adjoint PDR<sup>↓</sup>

## Thm. Soundness

If AdjointPDR<sup>↓</sup> returns true/false then  $\mu g \in P / \mu g \notin P$ .

## Thm. Progression

In any run, there's no loop.

## Thm. Negative Termination

If  $\mu g \notin P$  and choices of  $\gamma = (\gamma_0, \gamma_1, \dots, \gamma_{n-1})$  is finite,

AdjointPDR<sup>↓</sup> terminates.

This holds  
whenever we use canonical choice

$$\gamma = (g_r^{\downarrow n-1} p^{\downarrow}, \dots, g_r^{\downarrow 2} p^{\downarrow}, g_r^{\downarrow 1} p^{\downarrow}, p^{\downarrow})$$

← final chain



# Adjoint PDR<sup>↓</sup> for MDPs

max reachability problem for MDP ( $s_0 \in S, \delta: S \times A \rightarrow \mathcal{D}S+1$ )

...  $\Pr(\text{reaching some bad states } \beta \subseteq S) \leq? \lambda$  for given  $\lambda \in [0,1]$

$\Leftrightarrow$  LFP problem w.r.t. Bellman operator  $s \mapsto \max_{a \in A} \sum_{s'} d s' \cdot \delta(s,a,s')$

Canonical heuristics based on final chain.

$Y_{n-1} = \{d \in [0,1]^S \mid d(s_0) \leq \lambda\}, Y_{n-2} = \{d \mid \max_{a \in A} \sum_{s'} d s' \cdot \delta(s_0,a,s') \leq \lambda\}, Y_{n-3}, Y_{n-4}, \dots$

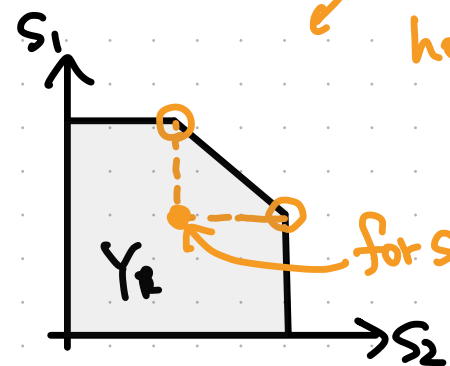
↳ naturally get

Our heuristics:

By choosing a scheduler,

$Y_x$  can be expressed by a linear inequality.

shrink  $x$  by taking meet of generators of  $Y_x$ .



I'll show it gives practical performance in experiments.

# Outline

1. Adjoint PDR — generalization of PDR
2. Adjoint PDR<sup>d</sup> — extension of Adjoint PDR
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# Experiment

We implemented a generic template for  $\text{AdjointPDR}^\downarrow$  in Haskell.

→ By specifying heuristics, users get an instance.  
(e.g. instance for Kripke frame, MDP, ...)

We compared an instance of  $\text{AdjointPDR}^\downarrow$  for MDPs

to  $\left. \begin{array}{l} \text{LT-PDR} \\ \text{[Kort+CAV'22]} \\ \text{Pr-IC3} \\ \text{[Botz+CAV'20]} \end{array} \right\} \text{PDR algorithms for MDPs.}$

$\left. \begin{array}{l} \text{Storm} \\ \text{[Dehnert+CAV'19]} \end{array} \right\} \text{non-PDR algorithm for MDPs.}$

Machine: Ubuntu 18.04. 4 CPUs, 16 GB memory, up to 3.0 GHz  
Intel Scalable Processor.

# Results Comparison to LT-PDR, PrIC3 (PDR algorithms)

[Kortt.CAV22] [Bat2f.CAV20]

Benchmark	S	P	$\lambda$	AdjointPDR <sup>↓</sup>			LT-PDR	PrIC3			
				hCoB	hCo01	hCoS		none	lin.	pol.	hyb.
Grid	10 <sup>2</sup>	0.033	0.3	0.013	0.022	0.659	0.343	1.383	23.301	MO	MO
			0.2	0.013	0.031	0.657	0.519	1.571	26.668	TO	MO
	10 <sup>3</sup>	<0.001	0.3	1.156	2.187	5.633	126.441	TO	TO	TO	MO
			0.2	1.146	2.133	5.632	161.667	TO	TO	TO	MO
BRP	10 <sup>3</sup>	0.035	0.1	12.909	7.969	55.788	TO	TO	TO	MO	MO
			0.01	1.977	8.111	5.645	21.078	60.738	626.052	524.373	823.082
			0.005	0.604	2.261	2.709	1.429	12.171	254.000	197.940	318.840
			0.9	1.217	68.937	0.196	TO	19.765	136.491	0.630	0.468
Zero-Conf	10 <sup>2</sup>	0.5	0.75	1.223	68.394	0.636	TO	19.782	132.780	0.602	0.467
			0.52	1.228	60.024	0.739	TO	19.852	136.533	0.608	0.474
			0.45	<0.001	0.001	0.001	<0.001	0.035	0.043	0.043	0.043
			0.9	MO	TO	7.443	TO	TO	TO	0.602	0.465
	10 <sup>4</sup>	0.5	0.75	MO	TO	15.223	TO	TO	TO	0.599	0.470
			0.52	MO	TO	TO	TO	TO	TO	0.488	0.475
			0.45	0.108	0.119	0.169	0.016	0.035	0.040	0.040	0.040
			0.9	36.083	TO	0.478	TO	269.801	TO	0.938	0.686
Chain	10 <sup>3</sup>	0.394	0.4	35.961	TO	394.955	TO	271.885	TO	0.920	TO
			0.35	101.351	TO	454.892	435.199	238.613	TO	TO	TO
			0.3	62.036	463.981	120.557	209.346	124.829	746.595	TO	TO
			0.9	12.122	7.318	TO	TO	TO	TO	1.878	2.053
Double-Chain	10 <sup>3</sup>	0.215	0.3	12.120	20.424	TO	TO	TO	TO	1.953	2.058
			0.216	12.096	19.540	TO	TO	TO	TO	172.170	TO
			0.15	12.344	16.172	TO	16.963	TO	TO	TO	TO
			0.9	0.004	0.009	8.528	TO	1.188	31.915	TO	MO
Haddad-Monmege	41	0.7	0.75	0.004	0.011	2.357	TO	1.209	32.143	TO	712.086
			0.9	59.721	61.777	TO	TO	TO	TO	TO	TO
	10 <sup>3</sup>	0.7	0.75	60.413	63.050	TO	TO	TO	TO	TO	TO
			0.9	0.004	0.009	8.528	TO	1.188	31.915	TO	MO

$$P = \Pr(\text{reaching bad states}) \leq \lambda$$

Adjoint PDR<sup>↓</sup> outperformed LT-PDR.

Adjoint PDR<sup>↓</sup> outperformed PrIC3 except when polynomial and hybrid in PrIC3 perform well.

potential improvement: use polynomial or hybrid template.

# Results Comparison to Storm (non-PDR algorithm)

[Dehnert+, CAV'19]

Benchmark	S	P	$\lambda$	AdjointPDR <sup>↓</sup>			Storm		
				hCoB	hCo01	hCoS	sp.-num.	sp.-rat.	sp.-sd.
Grid	10 <sup>2</sup>	0.033	0.3	0.013	0.022	0.659	0.010	0.010	0.010
			0.2	0.013	0.031	0.657			
	10 <sup>3</sup>	<0.001	0.3	1.156	2.187	5.633	0.010	0.017	0.011
			0.2	1.146	2.133	5.632			
BRP	10 <sup>3</sup>	0.035	0.1	12.909	7.969	55.788	0.012	0.018	0.011
			0.01	1.977	8.111	5.645			
			0.005	0.604	2.261	2.709			
Zero-Conf	10 <sup>2</sup>	0.5	0.9	1.217	68.937	0.196	0.010	0.018	0.011
			0.75	1.223	68.394	0.636			
			0.52	1.228	60.024	0.739			
			0.45	<0.001	0.001	0.001			
	10 <sup>4</sup>	0.5	0.9	MO	TO	7.443	0.037	262.193	0.031
			0.75	MO	TO	15.223			
			0.52	MO	TO	TO			
			0.45	0.108	0.119	0.169			
Chain	10 <sup>3</sup>	0.394	0.9	36.083	TO	0.478	0.010	0.014	0.011
			0.4	35.961	TO	394.955			
			0.35	101.351	TO	454.892			
			0.3	62.036	463.981	120.557			
Double-Chain	10 <sup>3</sup>	0.215	0.9	12.122	7.318	TO	0.011	0.018	0.010
			0.3	12.120	20.424	TO			
			0.216	12.096	19.540	TO			
			0.15	12.344	16.172	TO			
Haddad-Monmege	41	0.7	0.9	0.004	0.009	8.528	0.011	0.011	1.560
			0.75	0.004	0.011	2.357			
	10 <sup>3</sup>	0.7	0.9	59.721	61.777	TO	0.013 (†)	0.043	TO
			0.75	60.413	63.050	TO			

$$P = \Pr(\text{reaching bad states}) \leq \lambda$$

sp.-num.: Value iteration alg.

it may return a wrong answer while AdjointPDR<sup>↓</sup> is precise.

sp.-rat.: exact model checking

sp.-sd.: sound model checking

Sparsity may improve

return wrong P (=0.5)

Storm was faster than AdjointPDR<sup>↓</sup> in many benchmarks, although AdjointPDR<sup>↓</sup> compared well with Storm in a couple of benchmarks.

potential improvement: use sparse representation.

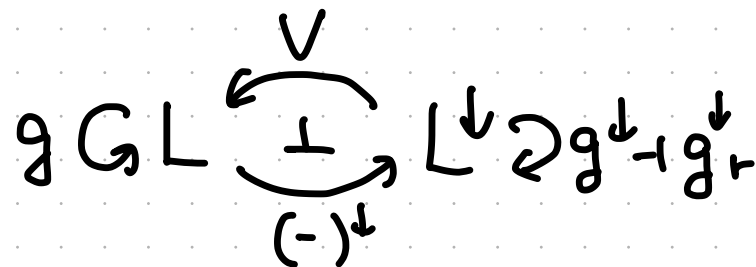
# Conclusions

Two PDR algorithms got by exploiting adjoints.

1. Adjoint PDR for  $\mu g \leq ? p$  with  $g = f \vee i$ .  $L \xrightarrow{f \text{ (forward)}} L \xrightarrow{b \text{ (backward)}}$

2. Adjoint PDR $^\downarrow$  for  $\mu g \leq ? p$

- Recover  $f \dashv b$  with lower sets.



- We successfully derived practical heuristics from canonical one.  
 The performance for MDPs is encouraging.

$\Rightarrow$  **Mathematically simple PDR by adjoints.**  
**Abstract theory helps devising heuristics**